

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NUMBER SWAB-0003
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		10/535050 U.S. APPLICATION NO. (if known see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/CA2003/001779	INTERNATIONAL FILING DATE 17 November 2003 (17.11.2003)	PRIORITY DATE CLAIMED 15 November 2002; (15.11.2002)
TITLE OF INVENTION METHOD FOR PRODUCING CARBON NANOTUBES USING A DC NON-TRANSFERRED THERMAL PLASMA TORCH		
APPLICANT(S) FOR DO/EO/US David HARBEC; Jean-Luc MEUNIER		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1.	<input checked="" type="checkbox"/>	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2.	<input type="checkbox"/>	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3.	<input checked="" type="checkbox"/>	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and (21) indicated below.
4.	<input type="checkbox"/>	The US has been elected (Article 31).
5.	<input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).
6.	<input type="checkbox"/>	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).
7.	<input checked="" type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made.
8.	<input type="checkbox"/>	An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.	<input checked="" type="checkbox"/>	An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4). (unexecuted)
10.	<input type="checkbox"/>	An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
Items 11. to 20. below concern other document(s) or information included:		
11.	<input type="checkbox"/>	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.	<input type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.	<input type="checkbox"/>	A preliminary amendment.
14.	<input checked="" type="checkbox"/>	An Application Data Sheet under 37 CFR 1.76.
15.	<input type="checkbox"/>	A substitute specification.
16.	<input checked="" type="checkbox"/>	A power of attorney and/or change of address letter. (part of the unexecuted declaration)
17.	<input type="checkbox"/>	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.182-1.825.
18.	<input checked="" type="checkbox"/>	A second copy of the published international application under 35 U.S.C. 154(d)(4). With International Search Report
19.	<input type="checkbox"/>	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20.	<input checked="" type="checkbox"/>	Other items or information: - a return postcard; check in amount of \$450.

EXPRESS MAIL Mailing Label No. EV482605934US
Date of Deposit: May 13, 2005

U.S. APPLICATION NO. (known 37 CFR 1.5) 107535050		INTERNATIONAL APPLICATION NO. PCT/CA2003/001779	ATTORNEY DOCKET NUMBER SWAB-0003
The following fees are submitted:			
21. <input checked="" type="checkbox"/> Basic national fee		\$300.00	\$ 300.00
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)		\$100.00	\$
All other situations		\$200.00	\$ 200.00
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority		\$100.00	\$ 400.00
International Search Report prepared and provided to the Office		\$400.00	
All other situations		\$500.00	
TOTAL of 21, 22 and 23 =		\$900.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.			
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE
28- 100 =	0/50=		X \$250.00 \$ 0
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$	
Claims	Number Filed	Number Extra	Rate
Total claims	19- 20 =	0	X \$50.00 \$ 0
Independent Claims	1- 3 =	0	X \$200.00 \$ 0
Multiple dependent claims(s) (if applicable)			+ \$360.00 \$ 0
TOTAL OF ABOVE CALCULATIONS = \$900.00			
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.		\$450.00	
SUBTOTAL = \$450.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$	
TOTAL NATIONAL FEE = \$450.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			
TOTAL FEES ENCLOSED = \$450.00			
		Amount to be: refunded	\$
		charged	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$450.00 to cover the above fee is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 23-3050 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3050. A duplicate copy of this sheet is enclosed.</p>			
<p>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>			
<p>SEND ALL CORRESPONDENCE TO:</p> <p>John W. Caldwell Woodcock Washburn LLP One Liberty Place - 46th Floor Philadelphia, PA 19103 (215) 568-3100</p>			
<p><i>Wendy A. Choi</i> SIGNATURE</p> <p>Wendy A. Choi NAME</p> <p>36,697 REGISTRATION NUMBER</p>			

10/535050

JC14 Rec CTC/PTO 13 MAY 2005

INSTANT BILL FORM

Preparer of Form must do the following :

- 1.) Request approval from Partner to bill the time and costs on Instant Bill Form
 - 2.) Check that all people billing to matter have released their time in DTE
 - 3.) Send Instant Bill Form as an attachment to this email address: Instant Bill Request
- Note: Accounting will prepare invoice exactly as requested on Form and send back to Preparer for mailing.*
- 4.) Preparer must send copy of mailed invoice to accounting for their records

Note : If you need assistance in filling out this form, please see Instant Bill Form Example (Imange document # : 308,071)

Matter Number	<i>SWAB-0003</i>
Preparer of Form: (person responsible for requesting and mailing invoice)	
Work to be Done:	
Instant bill approved by: (please insert initials of partner who has approved the following time and costs)	

Professional	Date	Hours	Time Entry Released in DTE <small>(Important : please be sure to release time in DTE and insert YES in this column to ensure accuracy of invoice)</small>
<i>M. Fricke</i>	<i>5/13/05</i>	<i>3.5</i>	<i>preparation of C5 NP appl filing communicating with client</i>
<i>W. Choi</i>	<i>05/13/05</i>	<i>0.3</i>	<i>Review and execute formal papers for expedited U.S. National Stage entry</i>
Total hours to be billed on this invoice:			

Disbursements (Note : In Equitrac – use code 777 7777)			
Date	Cost	# Items	Total
<i>5/13/05</i>	<i>\$450 - official filing fee</i>		
	<i>copy</i>		
	<i>exp. mail fee</i>		
Total costs to be billed on this invoice:			